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ATTY. DOCKET NO. SERIAL NO. FORM PTO-1449U.S. DEPARTMENT OF COMMERCE 09/487,962 **DSK-101** APPLICANT LIST OF DOCUMENTS CITED BY APPLICANT Dimitri Speck (Use several sheets if necessary) **GROUP** FILING DATE 01/18/2000 3628 U.S. PATENT DOCUMENTS EXAMINER DATE NAME DOCUMENT RELEVANT INITIAL **NUMBER** INFORMATION A B C D E F G FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY **TRANSLATION** 2letter code YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

David M. Pennock, "a dynamic pari-mutuel market for hedging, wagering, and information aggregation, proceedings of the fifth ACM conference on electronic commerce (EC'04), May am 2004http://dpennock.com/papers/pennock-ec-2004-dynamic-parimiutuel.pdf Robert J. Shiller, "Radical financial innovation." Cowles foundation discussion paper No. MM. 1461, April 2004. http://cowles.econ.yale.edu/>pp. /-29. M DATE CONSIDERED M/23/04 EXAMINER MgaNguyen * EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if

not in conformance and not considered. Include copy of this form with next communication to applicant.